

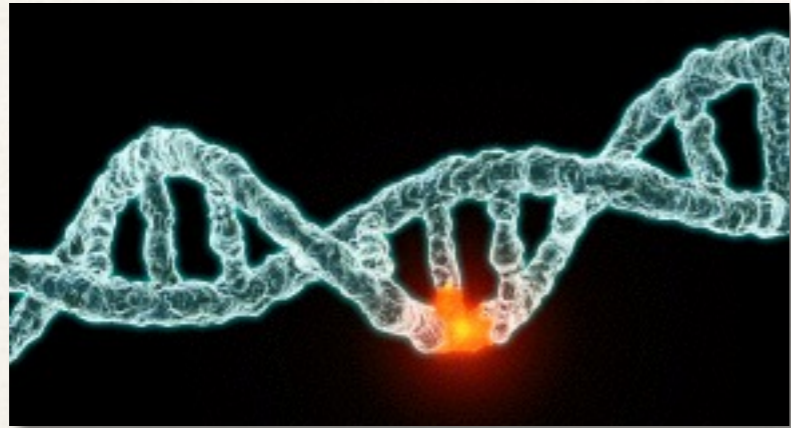
# Stochastic Models for DNA Tandem Duplication

Farzad Farnoud, with M. Schwartz, J. Bruck

*Jan 18, 2016, University of Washington*



# Mutations



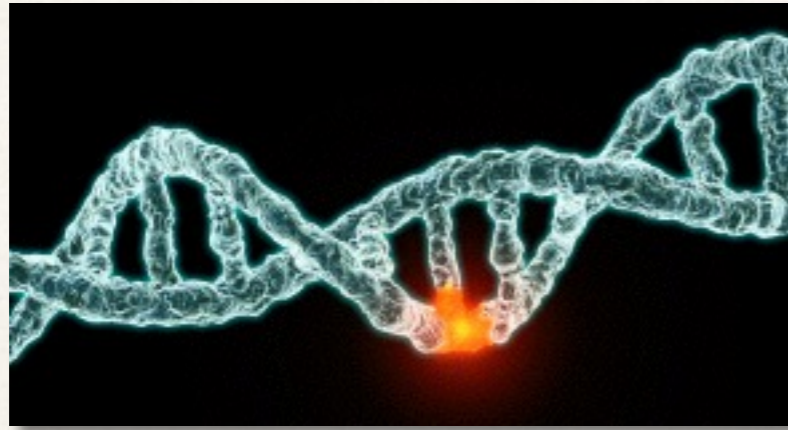
# Mutations



8.7 million species



# Mutations



Data storage in *live DNA*

8.7 million species



# Types of Mutations

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TGATGCA

↓ Point Mutation

TCATGCA

# Types of Mutations

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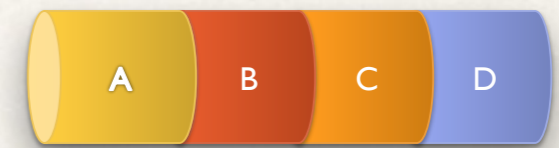
TGATGCA

↓ Point Mutation

TCATGCA



↓ Deletion



↓ Insertion



# Types of Mutations

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TGATGCA

↓ Point Mutation

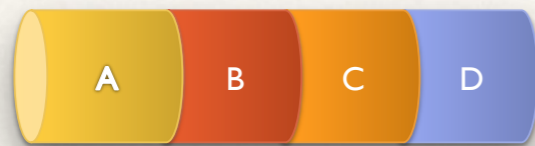
TCATGCA



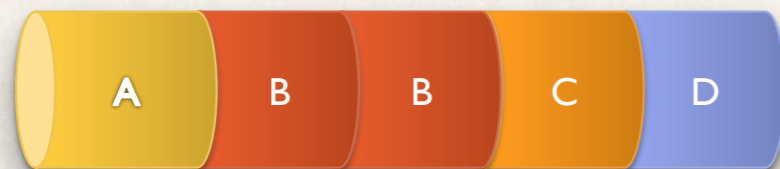
↓ Deletion



↓ Insertion



↓ Tandem Duplication



↓ Interspersed Duplication



# Types of Mutations

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TGATGCA

↓ Point Mutation

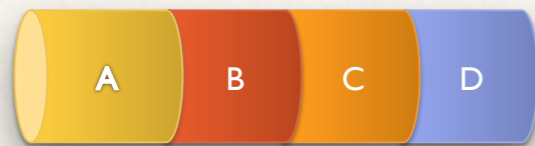
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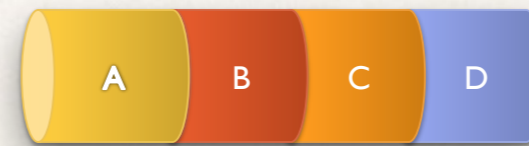
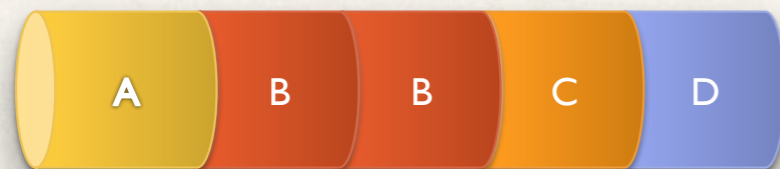
↓ Deletion



↓ Insertion



↓ Tandem Duplication



↓ Interspersed Duplication



3% of human genome





# A tandem repeat region

Ch1: 933,911–935,015

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GCTCCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTCCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG

GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTCCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTCCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTCCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTCCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTCCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG  
GCTCCGTTACAGGTGGGCAGGGGAGGCG  
GCTGCGTTACAGGTGGGCAGGGGAGGCG





# Point mutations are in the same positions

---

GCT <b>C</b> CGTTACAGGTGGGC <b>A</b> GGGG <b>A</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGG <b>A</b> GGC <b>G</b>
GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGG <b>A</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGG <b>A</b> GGC <b>G</b>
GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGG <b>A</b> GGC <b>G</b>	GCT <b>C</b> CGTTACAGGTGGGC <b>A</b> GGGG <b>A</b> GGC <b>G</b>
GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGG <b>A</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGG <b>A</b> GGC <b>G</b>
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GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGG <b>A</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGG <b>G</b> GGC <b>G</b>
GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGG <b>A</b> GGC <b>T</b>	GCT <b>C</b> CGTTACAGGTGGGC <b>G</b> GGGG <b>A</b> GGC <b>T</b>
GCT <b>C</b> CGTTACAGGTGGGC <b>G</b> GGGG <b>G</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGG <b>G</b> GGC <b>G</b>
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GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGG <b>A</b> GGC <b>G</b>	GCT <b>C</b> CGTTACAGGTGGGC <b>G</b> GGGG <b>A</b> GGC <b>G</b>
GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGG <b>A</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGG <b>A</b> GGC <b>G</b>

# Stochastic Model

---

Start from one repeat unit (*seed*).

Random mutations:

- Tandem duplications (TD)  
  of one or more repeat units
- Point mutations (PM)

# Stochastic Model

---

ACGT

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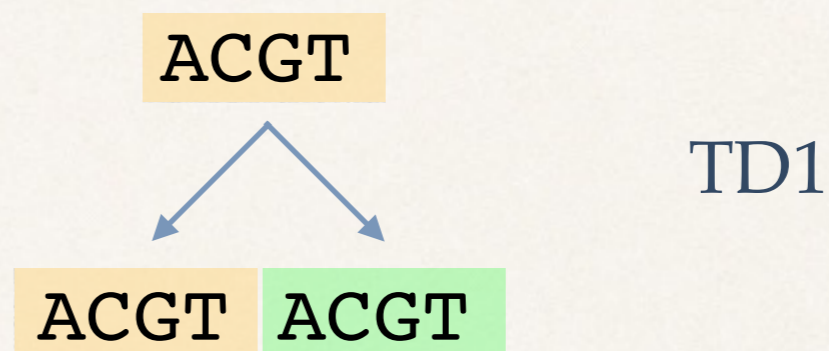
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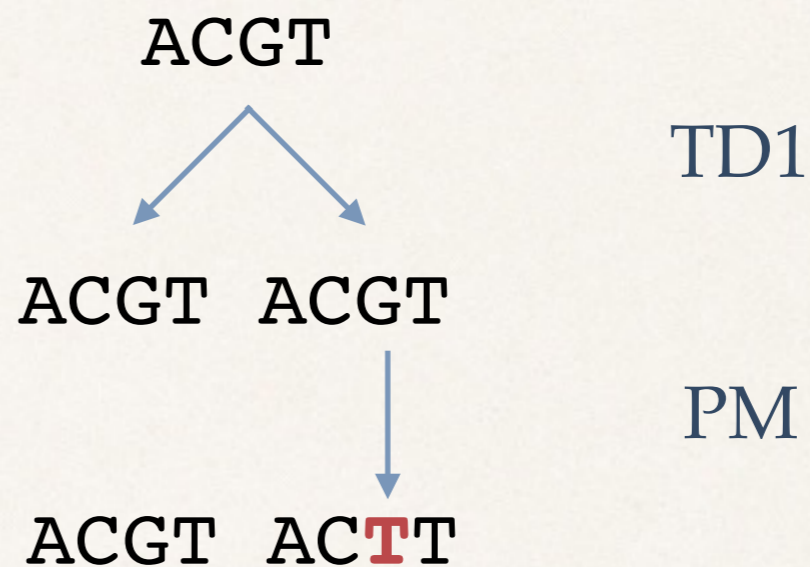
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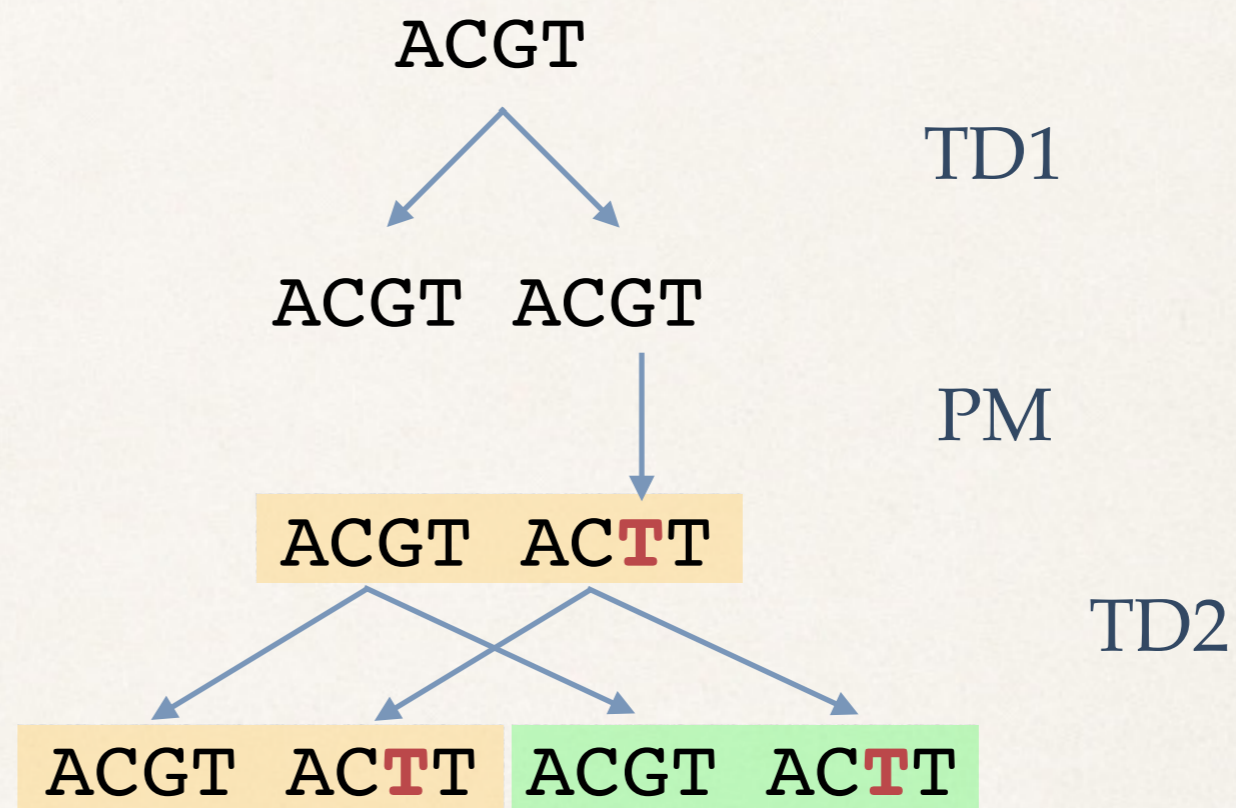
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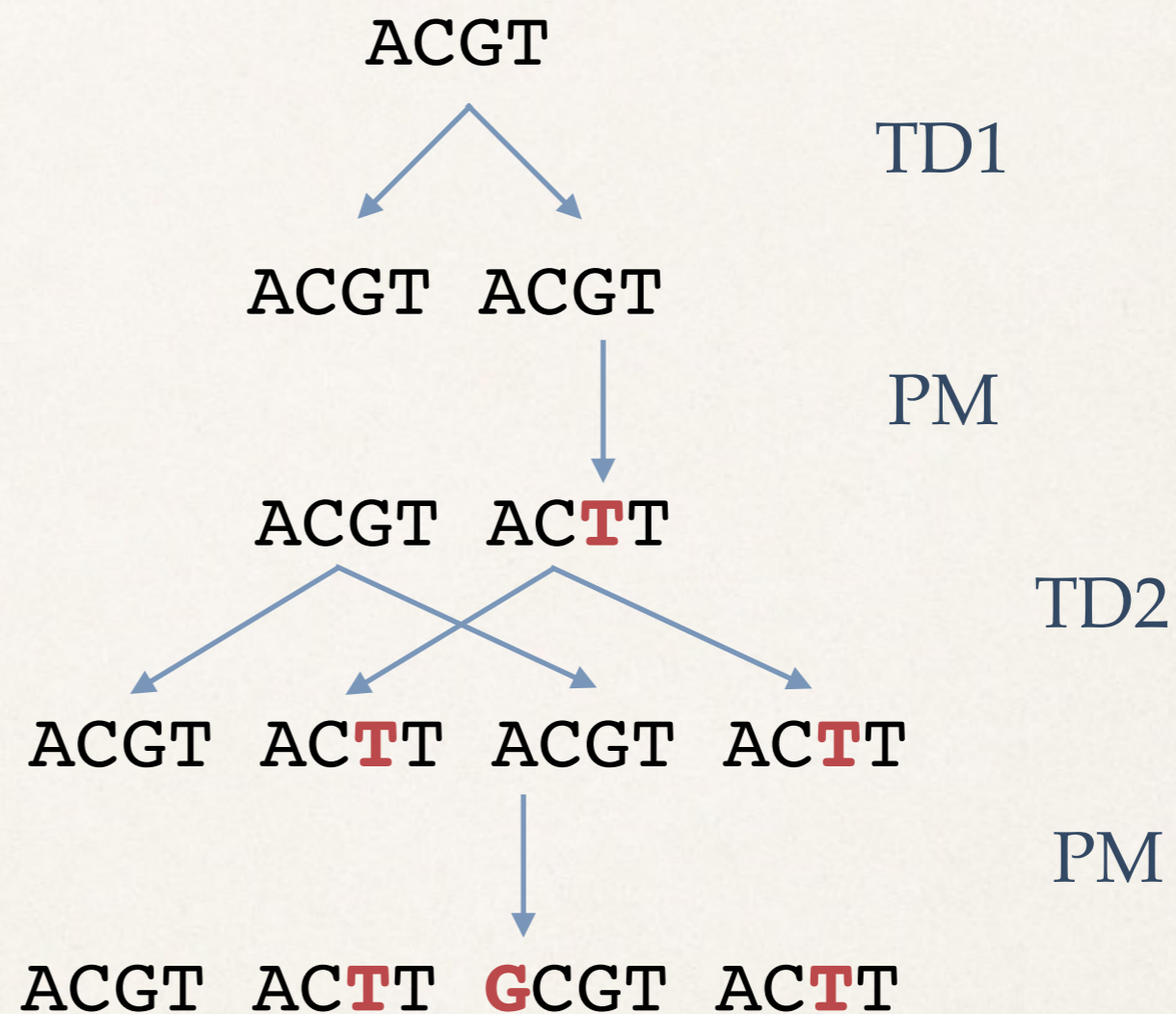
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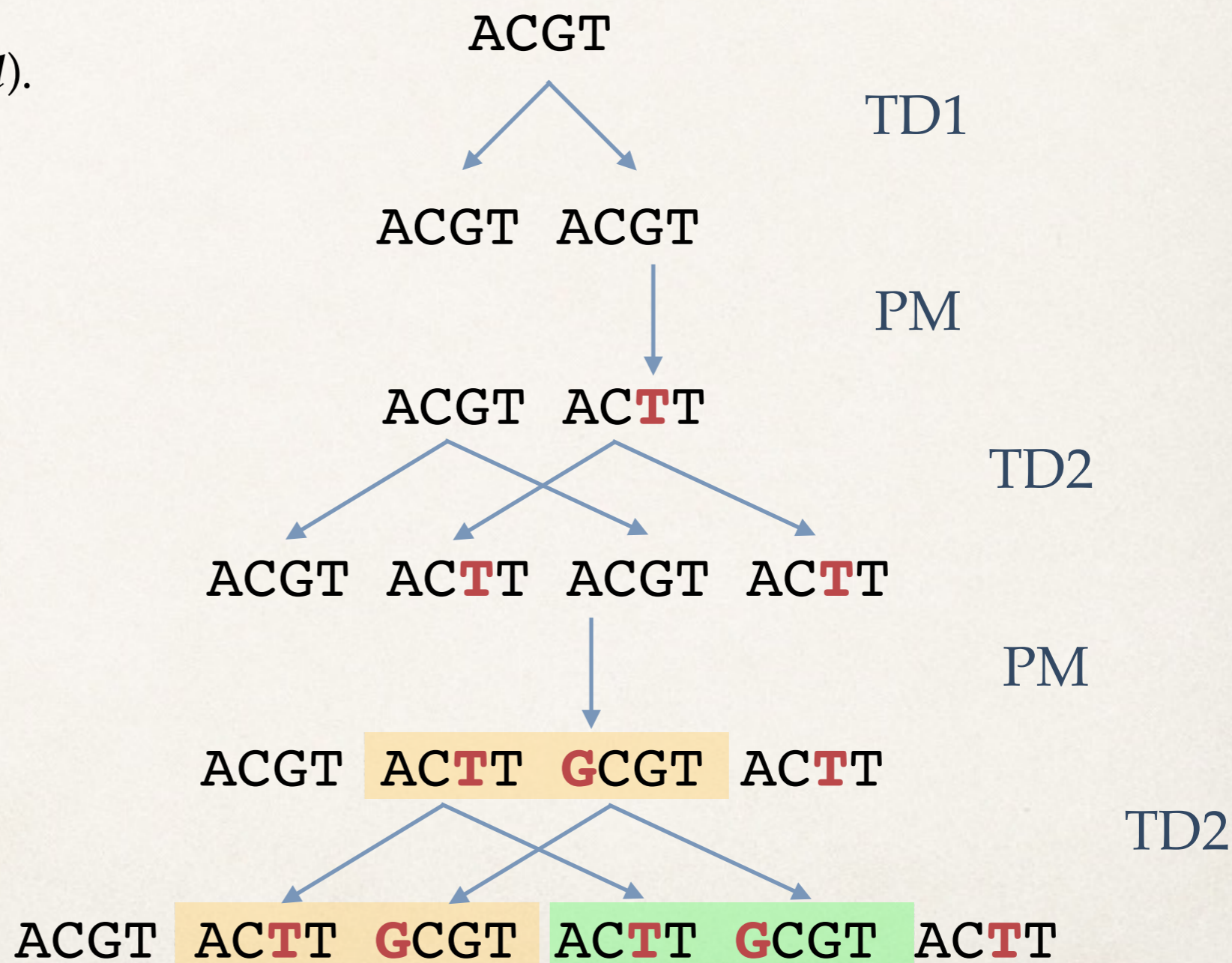


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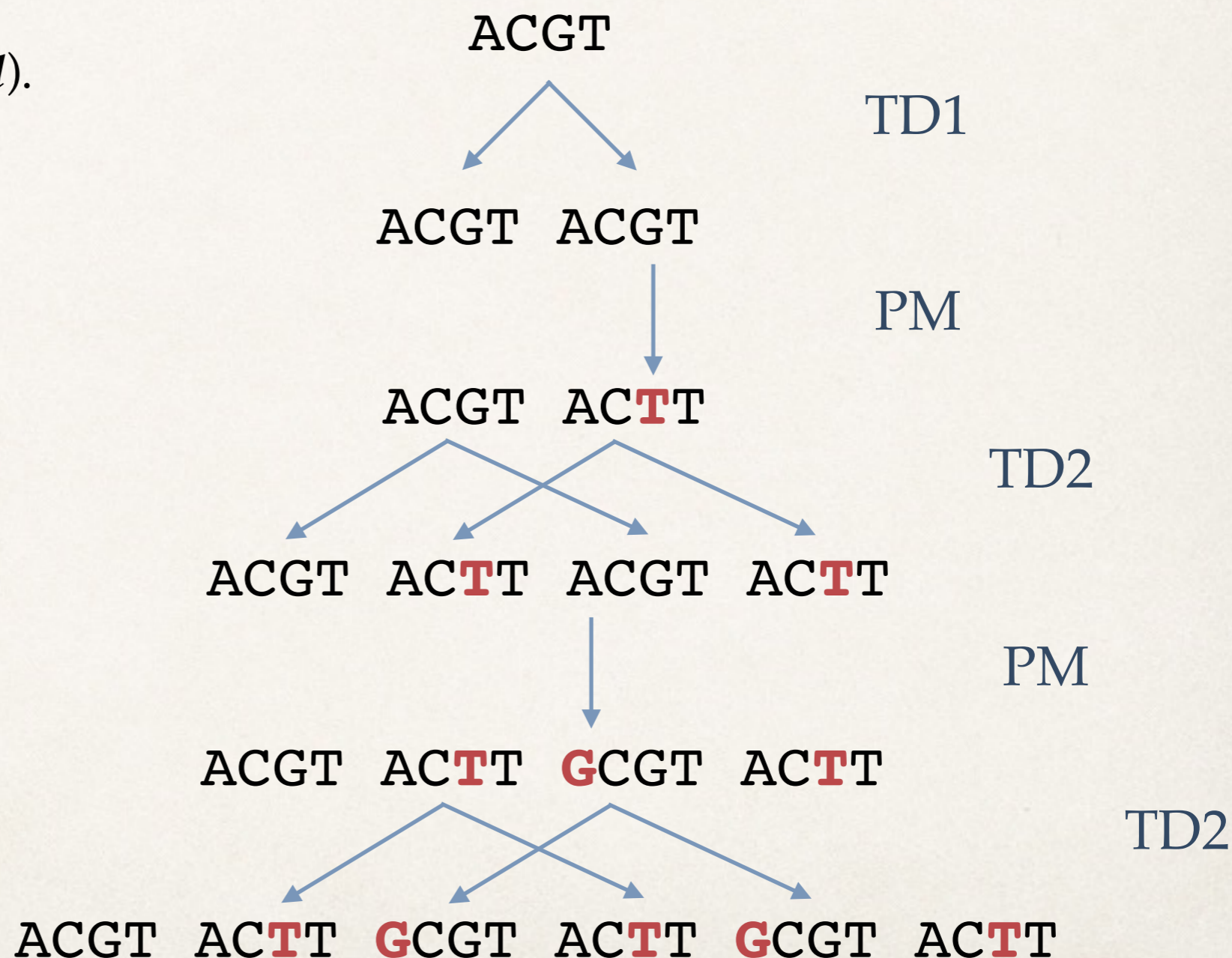
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Parameters of the model:

- Prob. of PM
- Prob. of TDs  
of different lengths

Can we learn them?



# Stochastic Model

---

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Random mutations:

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- Point mutations (PM)

Parameters of the model:

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of different lengths

Can we learn them?

ACGT ACTT GCGT ACTT GCGT ACTT

# Finding Duplication History

---

ACGT ACTT GCGT ACTT GCGT ACTT

# Finding Duplication History

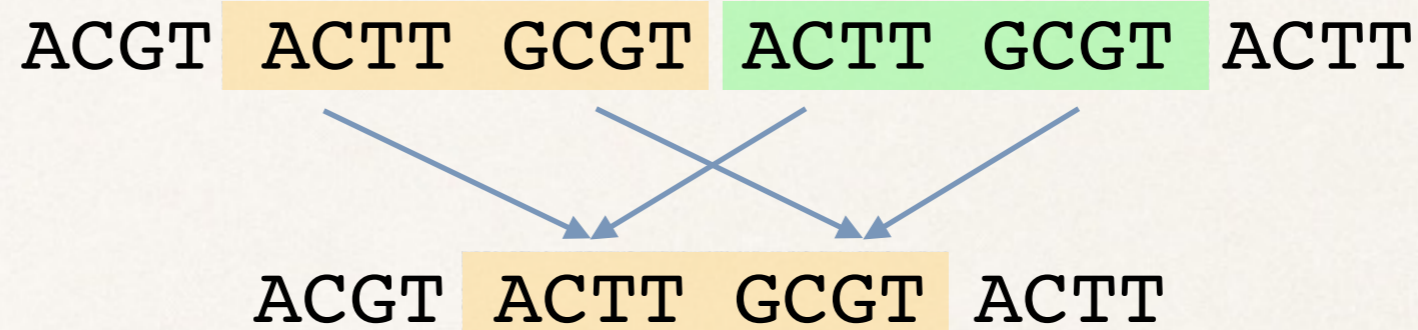
---

ACGT ACTT GCGT ACTT GCGT ACTT



# Finding Duplication History

---



TD2

# Finding Duplication History

---

ACGT ACTT GCGT ACTT GCGT ACTT

TD2

ACGT ACTT GCGT ACTT

PM

ACGT ACTT ACGT ACTT

# Finding Duplication History

---

ACGT ACTT GCGT ACTT GCGT ACTT

TD2

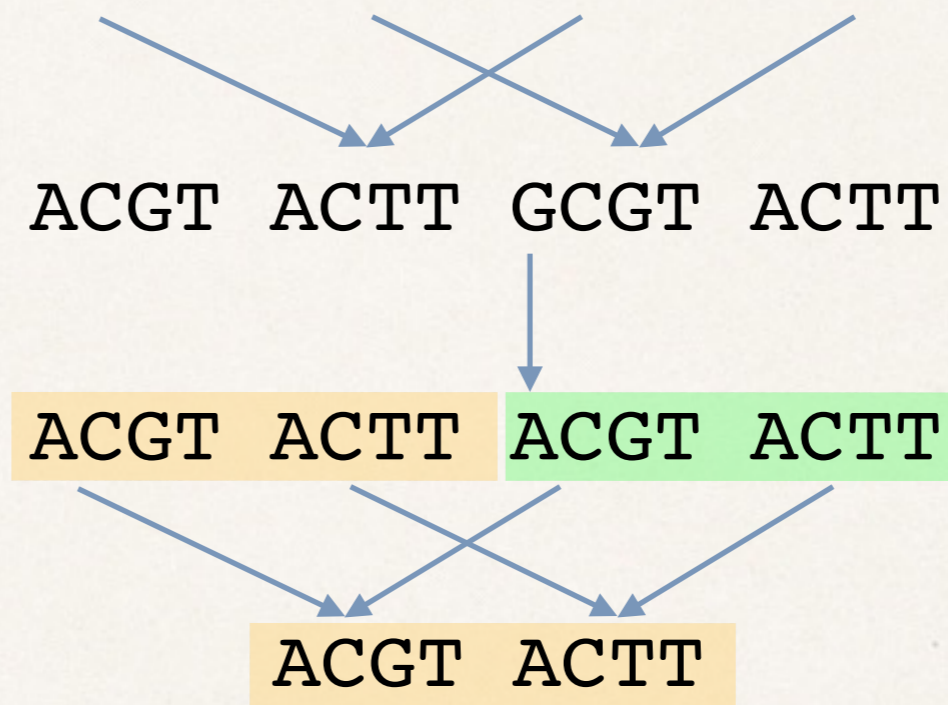
ACGT ACTT GCGT ACTT

PM

ACGT ACTT ACGT ACTT

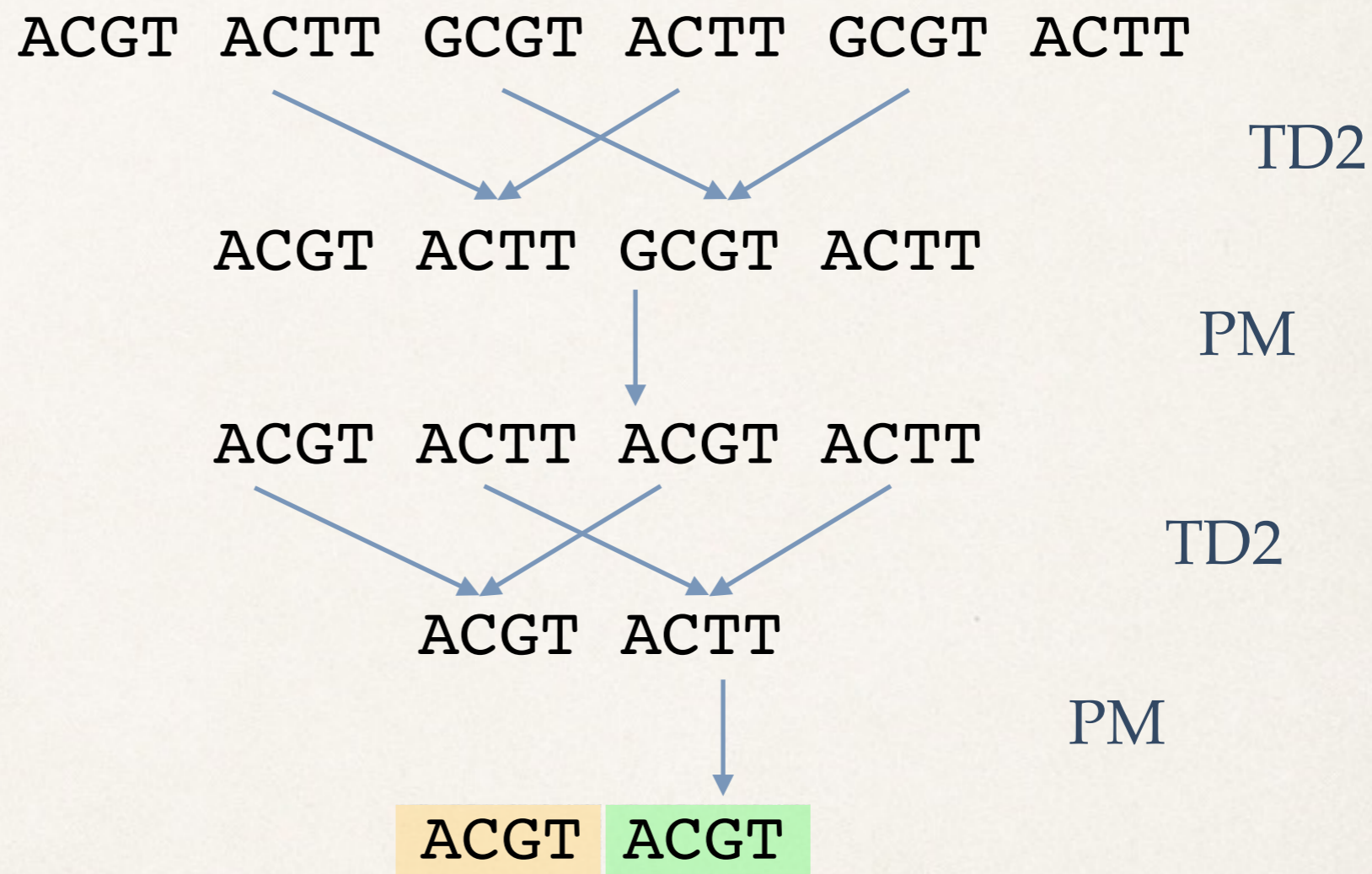
TD2

ACGT ACTT



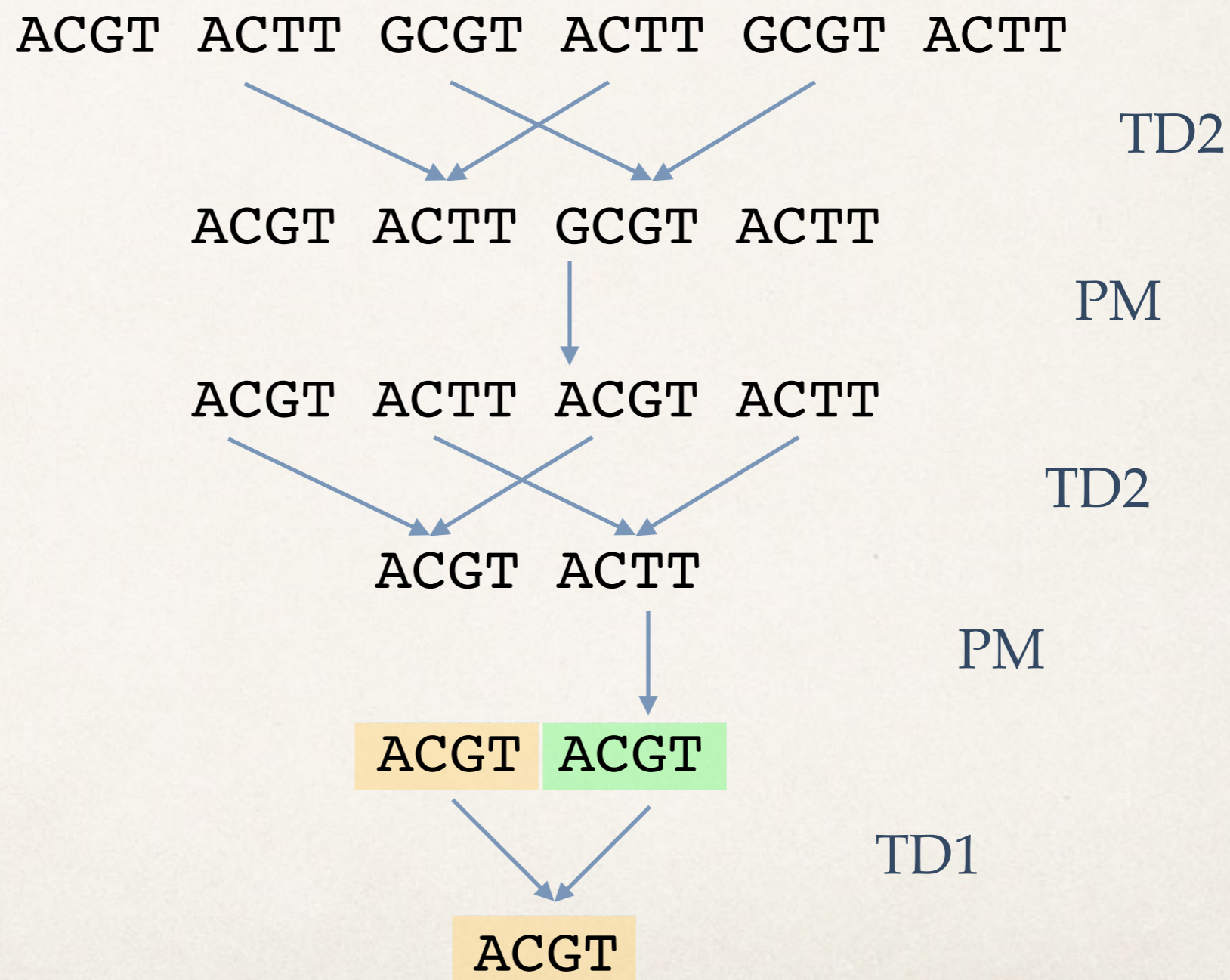
# Finding Duplication History

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# Finding Duplication History

---



# Finding Duplication History

---

ACGT ACTT GCGT ACTT GCGT ACTT

TD2

ACGT ACTT GCGT ACTT

PM

ACGT ACTT ACGT ACTT

TD2

ACGT ACTT

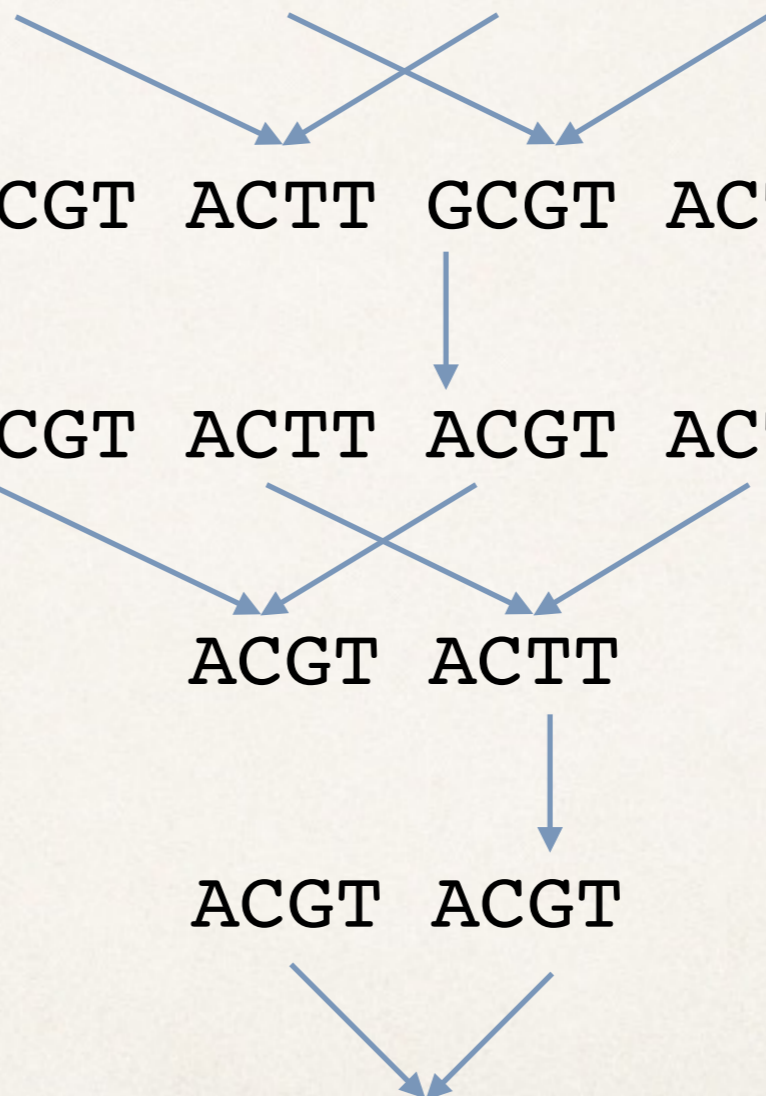
PM

ACGT ACGT

TD1

ACGT

TD1, 2 TD2, 2 PM



# Finding Duplication History

ACGT ACTT GCGT ACTT GCGT ACTT

TD2

ACGT ACTT GCGT ACTT

PM

ACGT ACTT ACGT ACTT

TD2

ACGT ACTT

PM

ACGT ACGT

TD1

ACGT

TD1, 2 TD2, 2 PM

Maximum Parsimony  
Thought to be NP-hard  
[Gascuel et al., 2005]



# Given the final sequence, can we efficiently estimate the parameters?

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GCT <b>C</b> CGTTACAGGTGGGC <b>A</b> GGGGG <b>A</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGGG <b>A</b> GGC <b>G</b>
GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGGG <b>A</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGGG <b>A</b> GGC <b>G</b>
GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGGG <b>A</b> GGC <b>G</b>	GCT <b>C</b> CGTTACAGGTGGGC <b>A</b> GGGGG <b>A</b> GGC <b>G</b>
GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGGG <b>A</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGGG <b>A</b> GGC <b>G</b>
GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGGG <b>A</b> GGC <b>G</b>	GCT <b>C</b> CGTTACAGGTGGGC <b>A</b> GGGGG <b>A</b> GGC <b>G</b>
GCT <b>C</b> CGTTACAGGTGGGC <b>A</b> GGGGG <b>A</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGGG <b>A</b> GGC <b>G</b>
GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGGG <b>A</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGGG <b>A</b> GGC <b>G</b>
GCT <b>G</b> CGTTACAGGTGGGC <b>A</b> GGGGG <b>G</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGGG <b>A</b> GGC <b>G</b>
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GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGGG <b>A</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGGG <b>G</b> GGC <b>G</b>
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GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGGG <b>A</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGGG <b>A</b> GGC <b>G</b>
GCT <b>C</b> CGTTACAGGTGGGC <b>G</b> GGGGG <b>A</b> GGC <b>G</b>	GCT <b>G</b> CGTTACAGGTGGGC <b>G</b> GGGGG <b>A</b> GGC <b>G</b>
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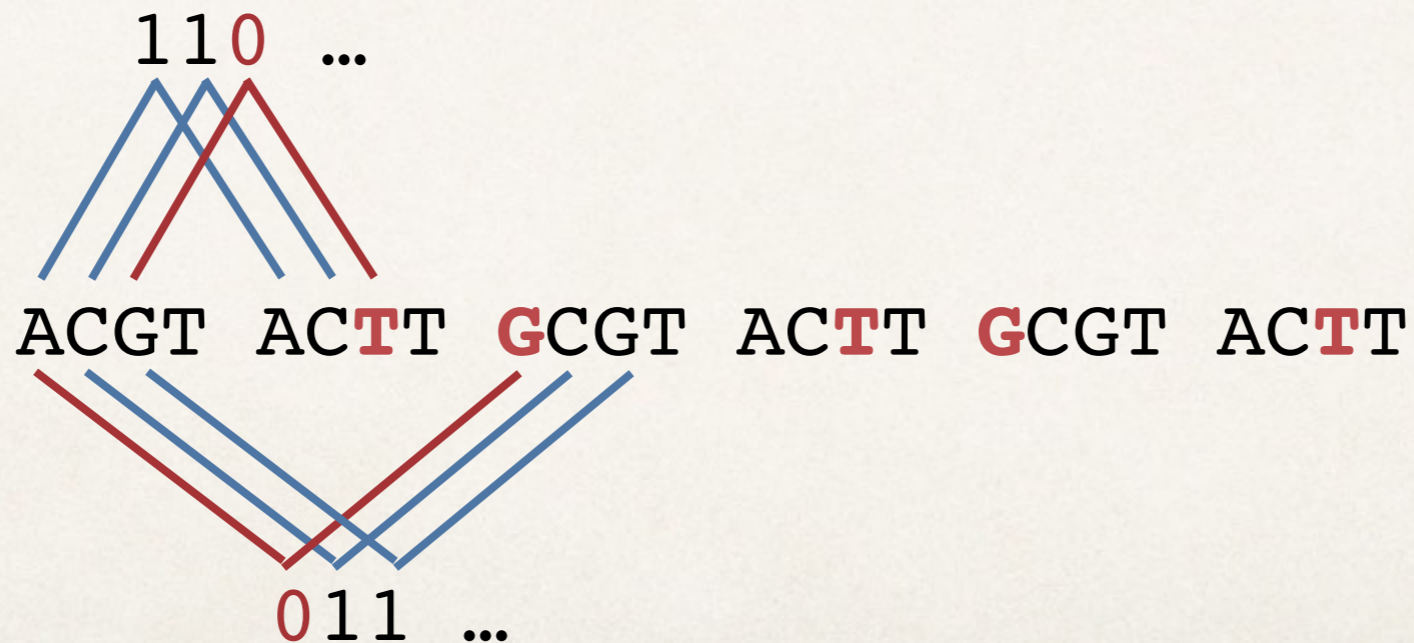


# How to extract information from point mutations?

---

- ❖ Autocorrelation function:

- ★  $r(\delta) = \text{fraction of symbols at distance } \delta \text{ units that are the same}$



$$r(1) = 11/20$$

$$r(2) = 15/16$$

# Stochastic Approximation

---

- ❖ Suppose a discrete random process  $x$  satisfies:

$$x_{n+1} - x_n = \frac{1}{n} (h(x_n) + M_{n+1})$$

for a Lipschitz function  $h$ , and martingale difference  $M$ .

- ❖ Then  $x_n$  converges almost surely to a compact connected internally chain transitive invariant set of the ode

$$\dot{x}_t = h(x_t).$$

# Stochastic Approximation for Autocorrelation

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# Stochastic Approximation for Autocorrelation

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- ❖  $r_n$ : autocorr. after  $n$  mutations
- ❖ The stochastic approximation equation for  $r_n$ :

$$\frac{d}{dt}r_t = Ar_t$$

$A$ : a matrix that depends on the parameters:  
 $P(\text{PM}), P(\text{TD1}), P(\text{TD2}), \dots$

- ❖ *As  $n$  increases,  $r_n$  tends to a point in the null space of  $A$*

# Autocorrelation Limit

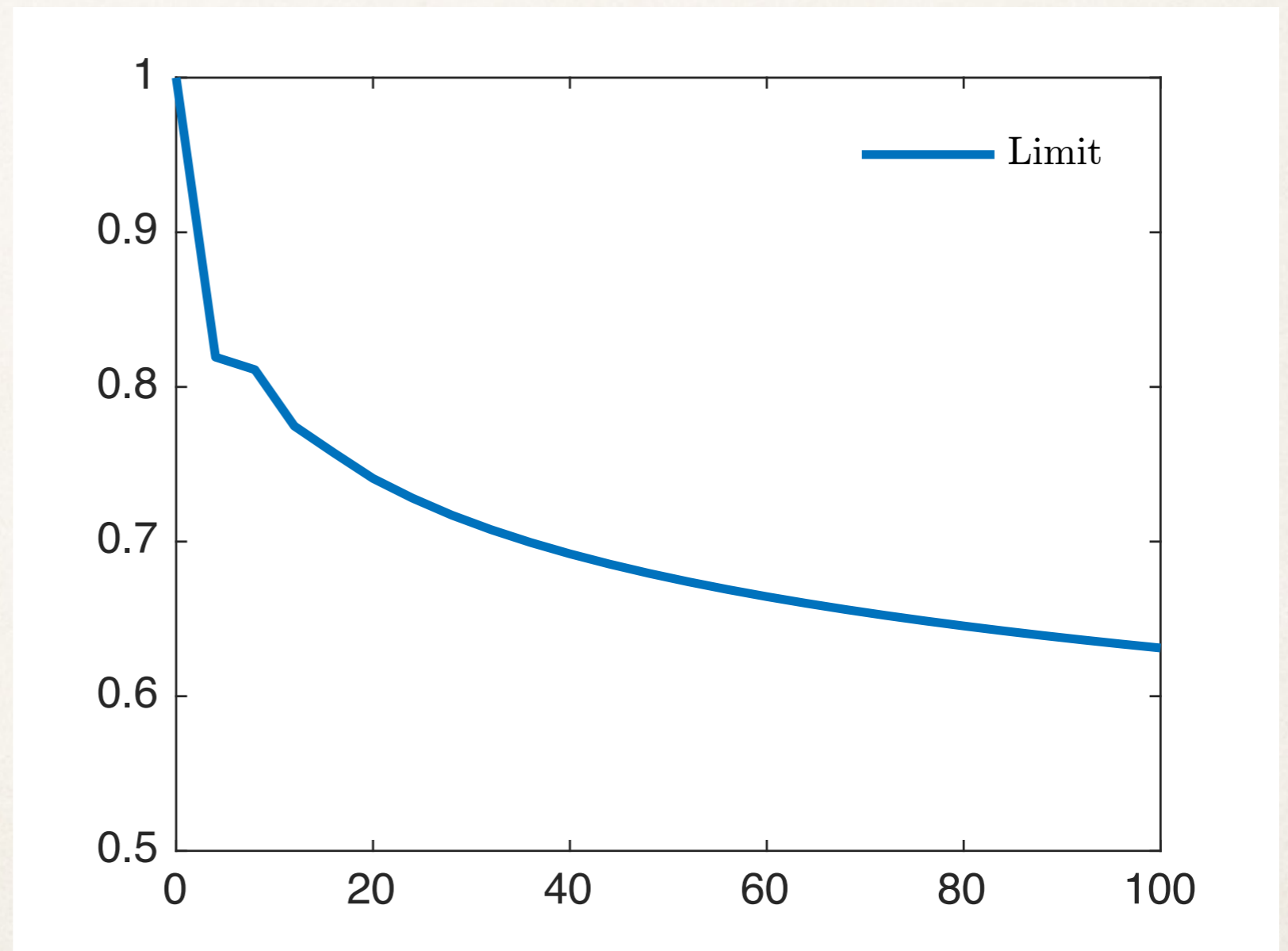
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$$P(\text{PM}) = 0.250$$

$$P(\text{TD1}) = 0.525$$

$$P(\text{TD2}) = 0.225$$

$r_n(\delta)$



$\delta$

# Autocorrelation Limit

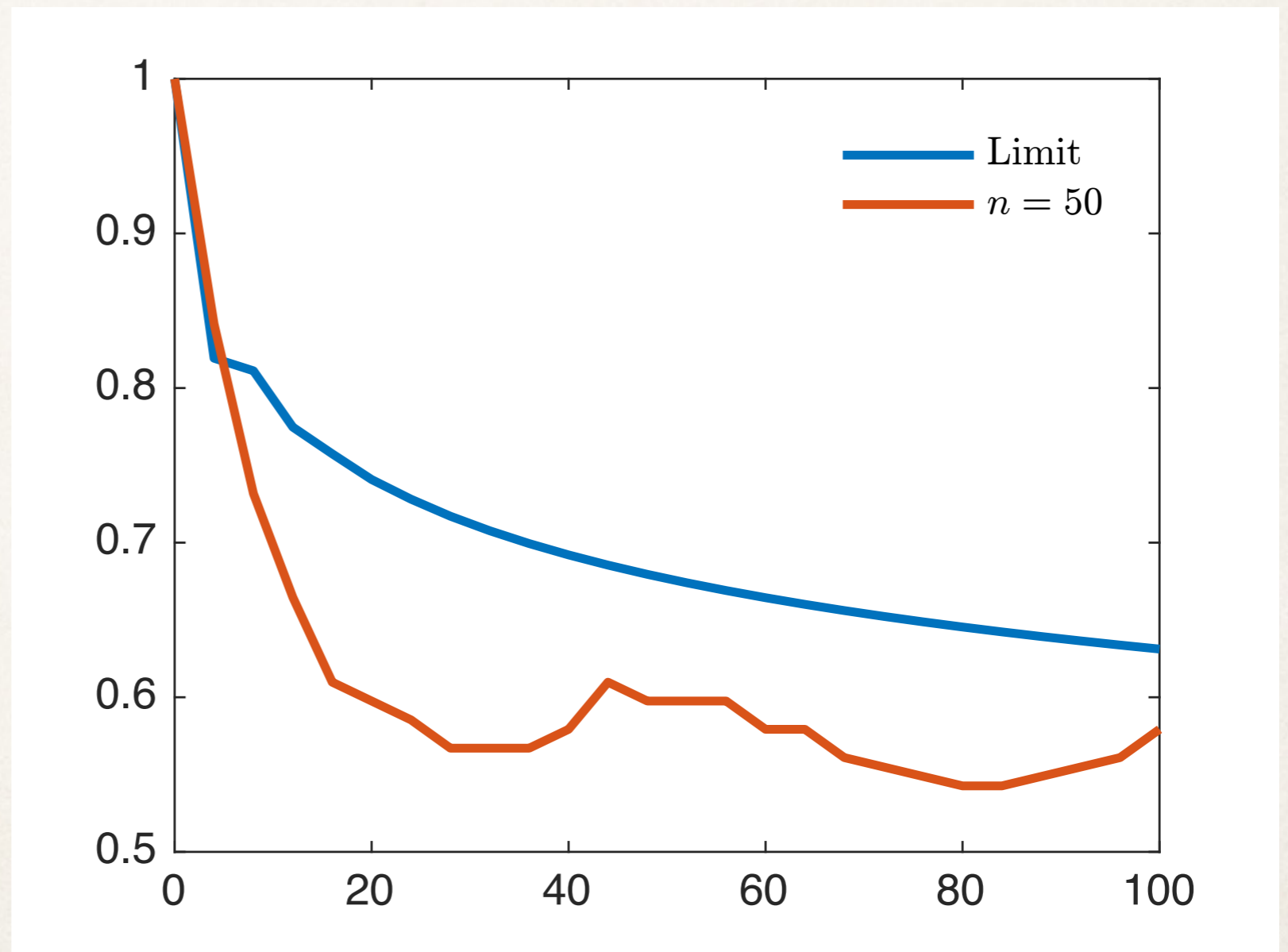
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$\delta$

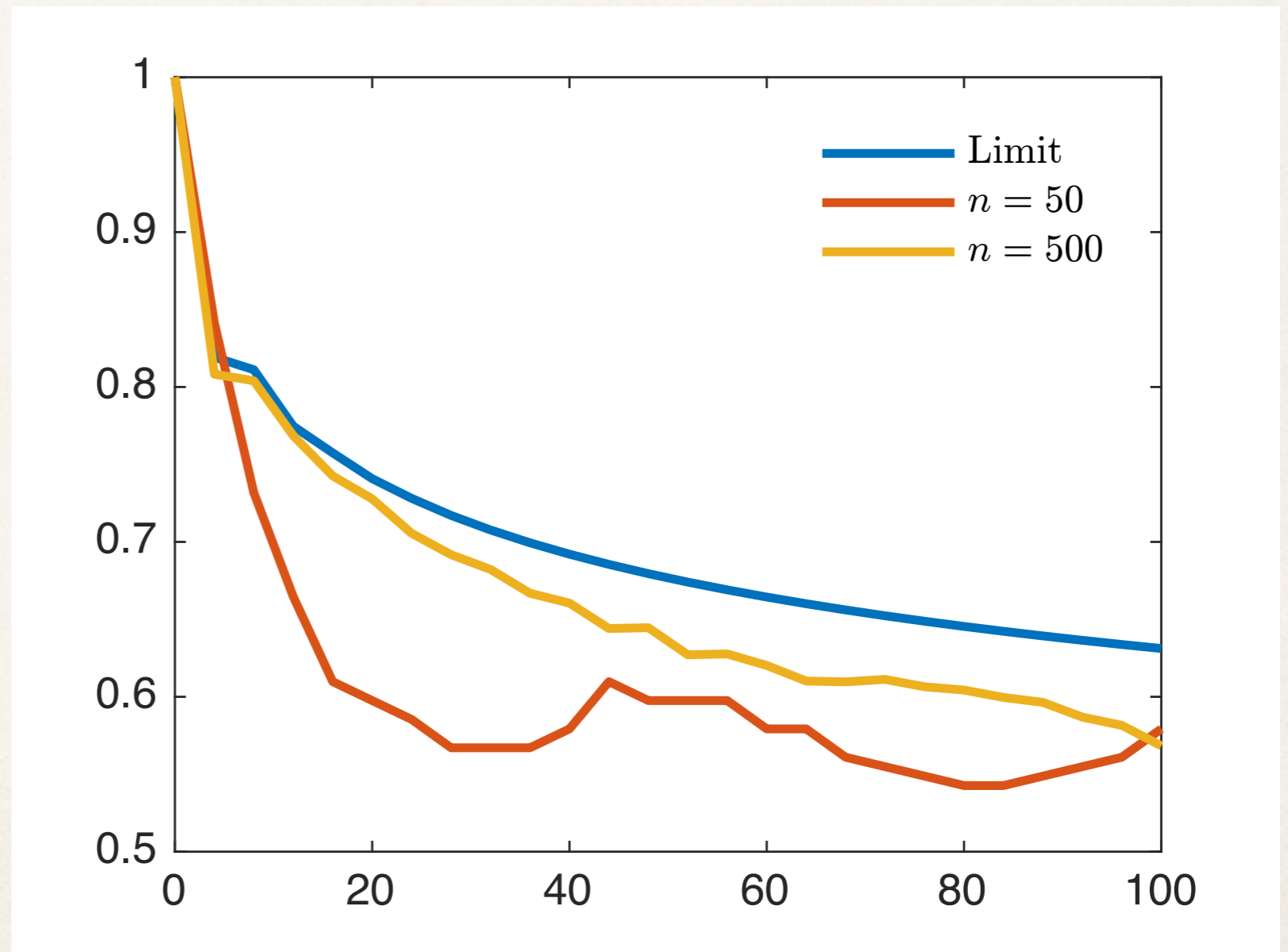
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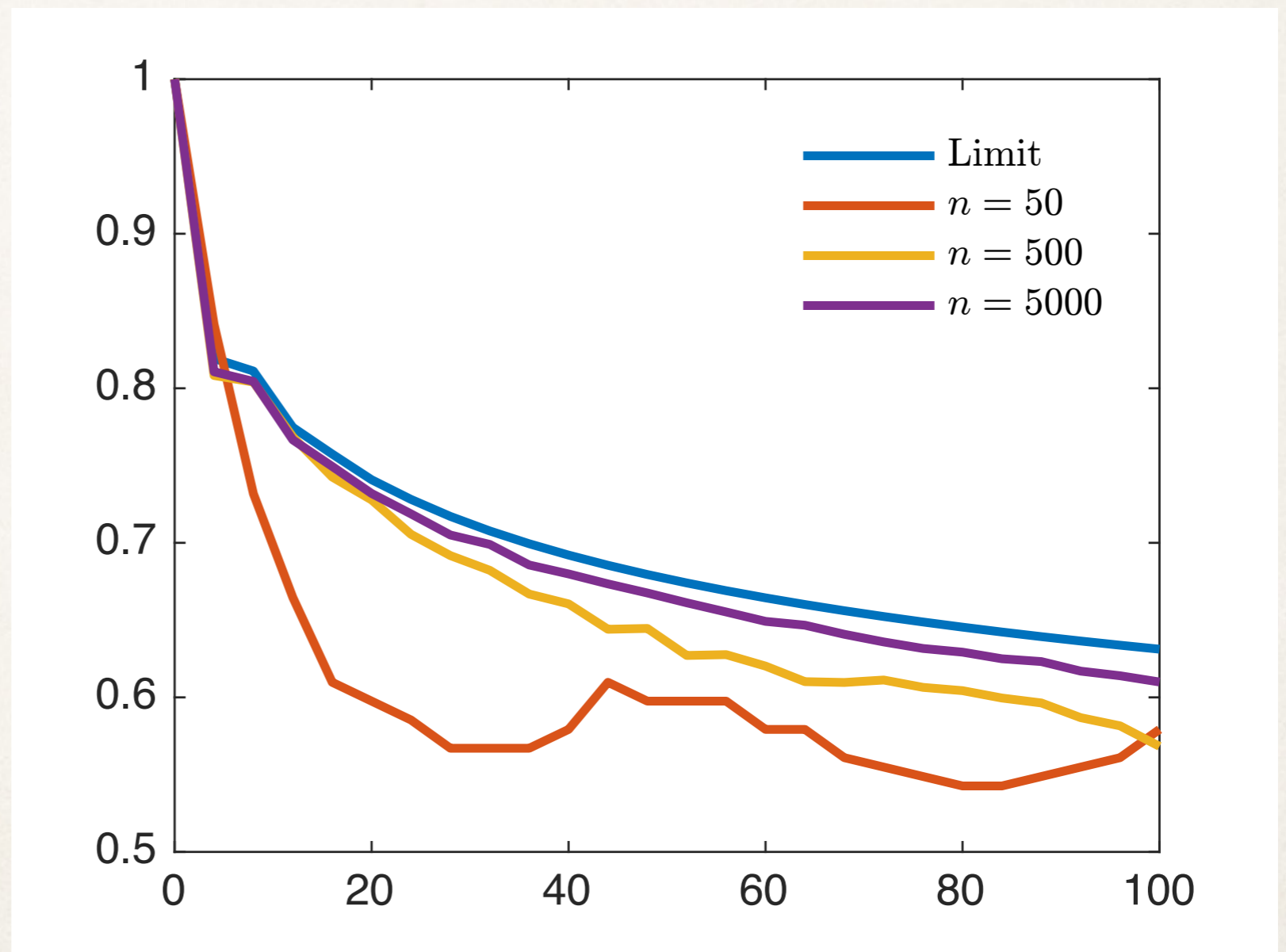
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$r_n(\delta)$



$\delta$



# Estimation Algorithm

---

$s =$

GCT <b>C</b> CGTTACAGGTGGGCAGGGGAGGCCG	GCT <b>G</b> CGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTCCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTCCGTTACAGGTGGGCAGGGGAGGCCG
GCTCCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTCCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG

1. Calculate autocorrelation  $r$  of  $s$ .
2. Find mutation probs such that the  $l_2$ -norm  $\|Ar\|_2$  is minimized.

# Estimation Algorithm

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$s =$

GCTC	CGTTACAGGTGGGCAGGGGAGGCCG	GCTG	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTG	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTC	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTG	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTC	CGTTACAGGTGGGCAGGGGAGGCCG
GCTC	CGTTACAGGTGGGCAGGGGAGGCCG	GCTG	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTG	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTG	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTC	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTG	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTG	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTC	CGTTACAGGTGGGCAGGGGAGGCCG
GCTC	CGTTACAGGTGGGCAGGGGAGGCCG	GCTG	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTG	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTG	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTC	CGTTACAGGTGGGCAGGGGAGGCCG
GCTG	CGTTACAGGTGGGCAGGGGAGGCCG	GCTG	CGTTACAGGTGGGCAGGGGAGGCCG

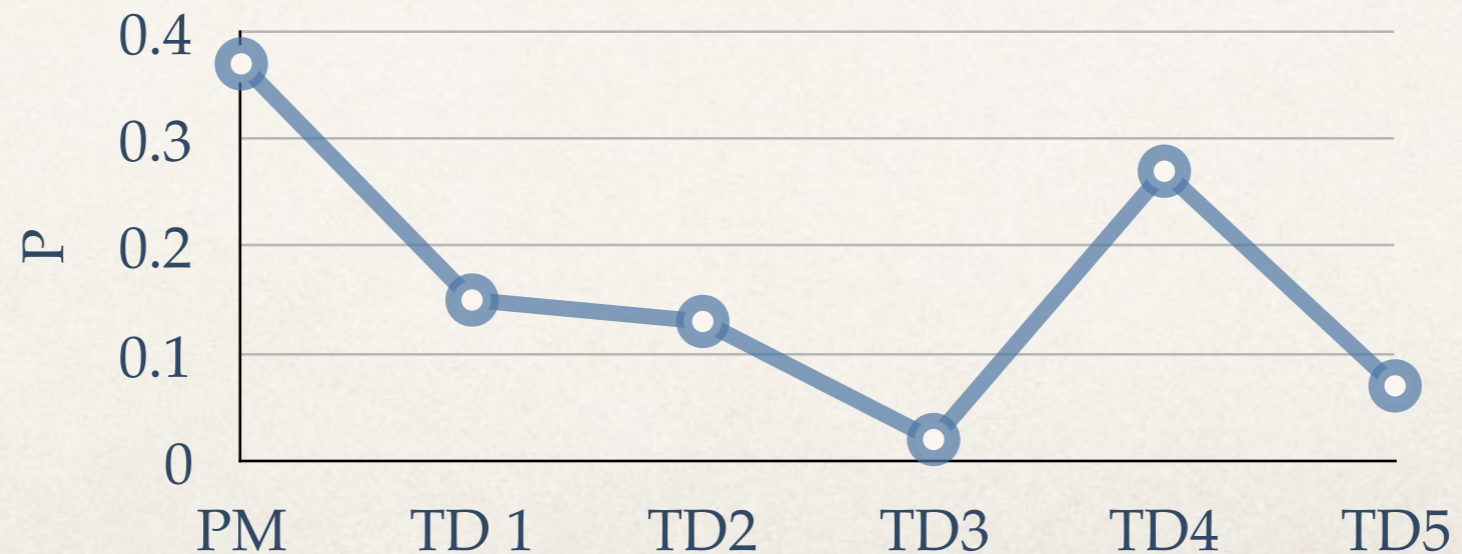


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GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTCCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTCCGTTACAGGTGGGCAGGGGAGGCCG
GCTCCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTCCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTCCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTCCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTCCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTCCGTTACAGGTGGGCAGGGGAGGCCG
GCTGCGTTACAGGTGGGCAGGGGAGGCCG	GCTGCGTTACAGGTGGGCAGGGGAGGCCG



# Simulation

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- ❖ Start with a short random seed over {A,C,G,T}

TGAATGT

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- ❖ Choose the parameters  $\mathbf{q} = (PM1, TD1, TD2, TD3)$  randomly

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$$\mathbf{q} = (0.24, 0.33, 0.34, 0.09)$$

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200 mutations: TGAATGTGCGT...

# Simulation

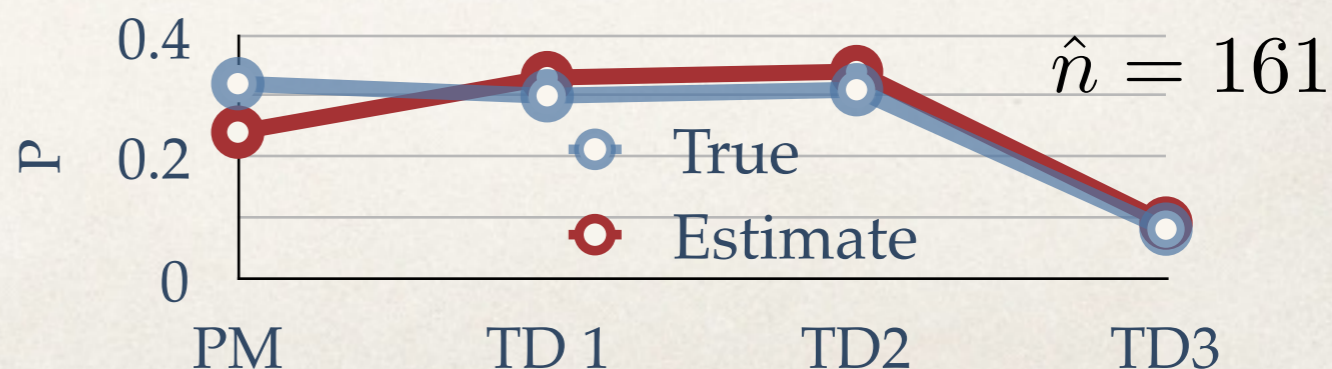
- ❖ Start with a short random seed over  $\{A,C,G,T\}$
- ❖ Choose the parameters  $\mathbf{q} = (PM1, TD1, TD2, TD3)$  randomly
- ❖ Apply  $n$  random mutations
- ❖ Estimate the parameters

$$\min_{\hat{\mathbf{q}}} \|Ar\|_2$$

TGAATGT

$$\mathbf{q} = (0.24, 0.33, 0.34, 0.09)$$

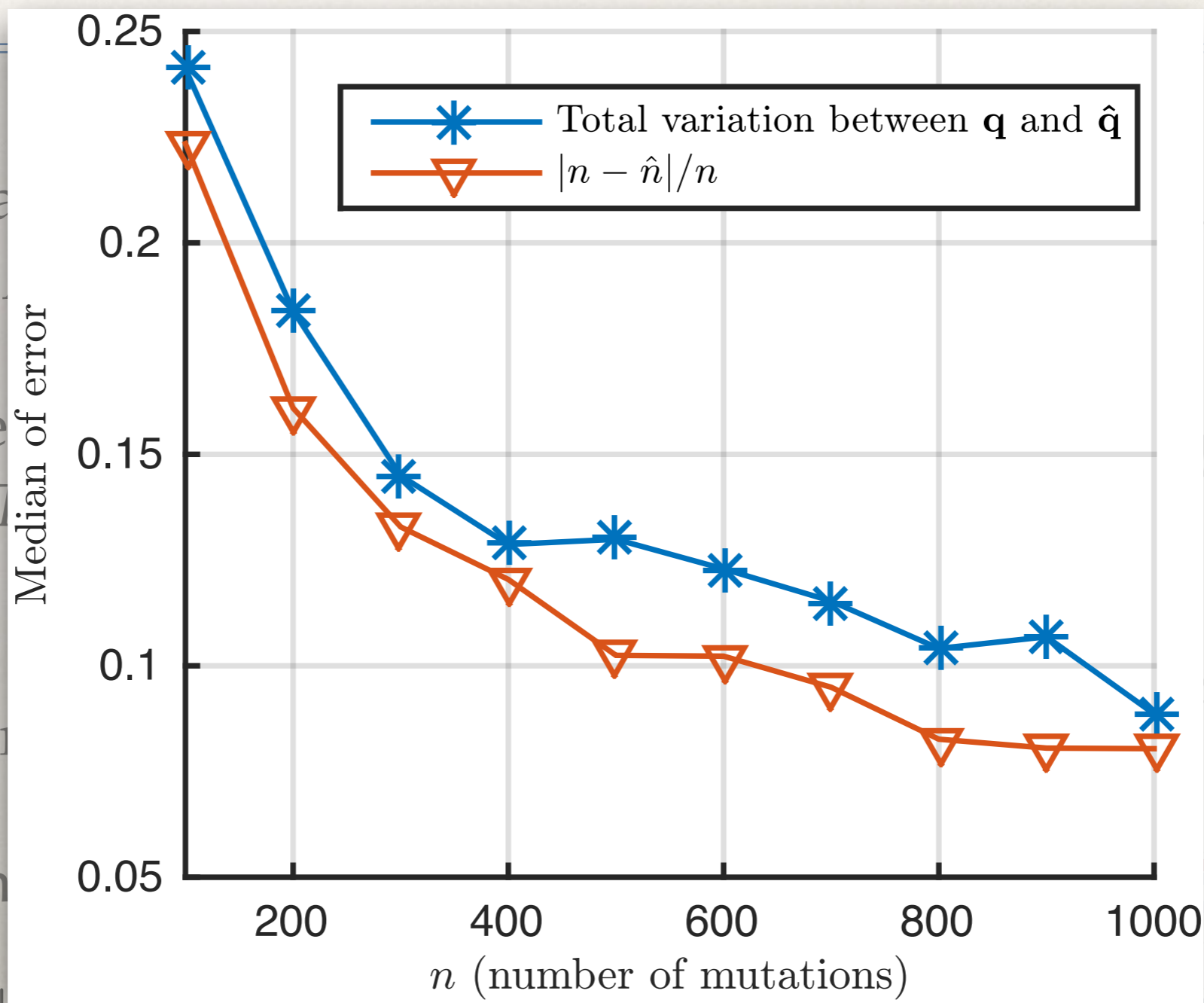
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# Simulation

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- ❖ Estimate the

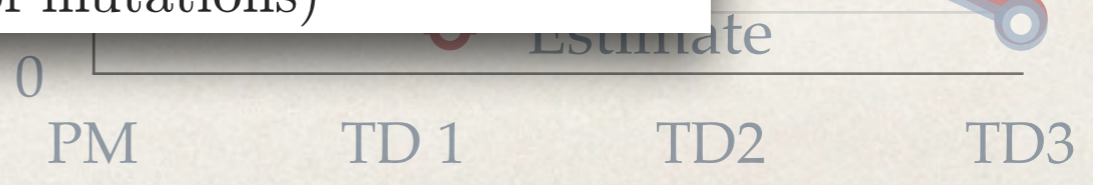


(0.34, 0.09)

ATGTGCGT...

$\hat{n} = 161$

$$\min_{\hat{\mathbf{q}}} \|A\mathbf{r}\|_2$$



# Summary and Next Steps

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- ❖ Capacity? Error-correcting codes?  
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- ❖ Application to phylogenetics (estimation of # mutations of each type)